



December 16, 2003

MAIL STOP PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Express Mail Receipt No. EL972877551US**

Re: United States Patent Application for "SYSTEM, METHOD, AND COMPUTER  
PROGRAM PRODUCT FOR LIFECYCLE DIGITAL MATURITY  
ASSESSMENT"  
Docket No.: 05-03-001  
Our Ref: EDSC01-05025

Dear Sir:

Enclosed please find the following documents for filing:

- 1) Postcard receipt;
- 2) Certificate of Mailing by Express Mail;
- 3) Fee Transmittal for FY 2004 (in duplicate);
- 4) Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i);
- 5) Declaration and Power of Attorney;
- 6) Recordation Form Cover Sheet and Assignment;
- 7) Patent Application (23 pages); and,
- 8) Formal Drawings (2 Sheets).

FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE		-0-	\$770.00	\$770.00
TOTAL CLAIMS	4 - 20=	-0-	\$ 18.00	\$ 0.00
INDEP. CLAIMS	1 - 3=	-0-	\$ 86.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM PRESENTED	-0-		\$280.00	\$ 0.00

TOTAL \$770.00

Please direct all correspondence to:

**USPTO CUSTOMER NO 34279**

Commissioner for Patents  
December 16, 2003  
Page 2

The Commissioner is hereby authorized to charge \$810.00 for the application filing fee (\$770.00) and the assignment recordal filing fee (\$40.00) as well as any additional fees which may be required, or credit any overpayment to EDS Deposit Account No. 05-0765.

If any problems arise in the filing of the enclosed documents, please contact Matthew S. Anderson at (972) 628-3600.

Very truly yours,

DAVIS MUNCK, P.G.

A handwritten signature in black ink, appearing to read "Matthew S. Anderson", written over a horizontal line.

Matthew S. Anderson  
Registration No. 39,093

MSA/lmz  
Enclosures

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**  
**for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ ) 810.00**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Thomas P. Pritchard, et al.
Examiner Name	
Art Unit	
Attorney Docket No.	05-03-001

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

05-0765

Electronic Data Systems

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	770.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$ ) 770.00</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	4	-20** =	0	x	18.00	=	0.00
Independent Claims	1	-3** =	0	x	86.00	=	0.00
Multiple Dependent				x		=	

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	0.00
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$ ) 0.00</b>

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity | Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ ) 40.00**SUBMITTED BY**

Name (Print/Type)

Matthew S. Anderson

Registration No.

39,093

(Complete (if applicable))

Telephone 972-628-3600

Signature

Date

December 16, 2003

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

**SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

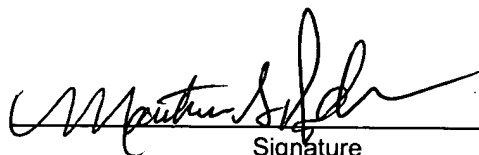
**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**First Named Inventor **Thomas P. Pritchard, et al.**Title **SYSTEM, METHOD, AND COMPUTER PROGRAM PRODUCT  
FOR LIFECYCLE DIGITAL MATURITY ASSESSMENT**Attorney Docket Number **05-03-001**

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 16, 2003

Date



Signature

972-628-3600

Telephone number

Matthew S. Anderson, Reg. No. 39,093

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

DOCKET NO.: 05-03-001  
CLIENT NO.: EDSC01-05025  
Customer No.: 34279

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : THOMAS P. PRITCHARD, ET AL.  
Application No. : Not yet assigned  
Filing Date : Not yet assigned  
Title : SYSTEM, METHOD, AND COMPUTER PROGRAM PRODUCT  
FOR LIFECYCLE DIGITAL MATURITY ASSESSMENT

MAIL STOP PATENT APPLICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

The undersigned hereby certifies that the following documents:

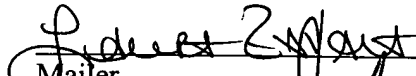
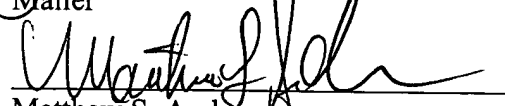
- 1) Postcard receipt;
- 2) Transmittal Letter (in duplicate);
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- 5) Declaration and Power of Attorney;
- 6) Patent Application (23 pages);
- 7) Formal Drawings (2 sheets); and,
- 8) Recordation Form Cover Sheet and Assignment

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL972877551US, with the United States Postal Service, addressed to: MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 16, 2003.

Date: 12/16/03

Date: 12/16/03

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Mailer  
  
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Matthew S. Anderson  
Reg. No. 39,093